# Introduction to Tree Adjoining Grammar Natural Language Syntax with TAG

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DGfS-CL Fall School 2011

2. week, 1. session 05.09.2011





## Outline

- Overview: The second week
- 2 Recapitulation of LTAG
- Oesign principles for elementary trees
- Sample derivations

#### Overview over the second week

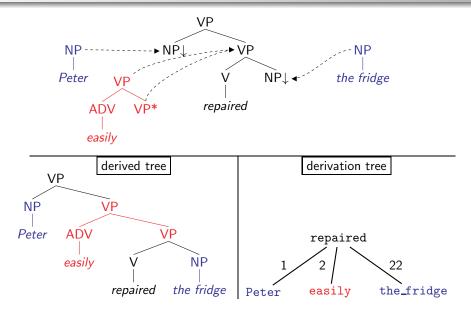
#### LTAG as a model for natural language syntax

- Principles underlying the shape of elementary trees (Monday)
- XTAG-analyses of raising/control (Tuesday) and extraction (Wednesday)
- How to do NLP with an LTAG?
  - How to implement an LTAG? (Thursday)
  - How to run and test an LTAG? (Friday)

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- Overview: The second week
- Recapitulation of LTAG
- 3 Design principles for elementary trees
- 4 Sample derivations

## Elementary trees, derived tree, derivation tree



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## Design principles for elementary trees

What is an elementary tree, and what is its shape?

- ⇒ Syntactic design principles from [Frank, 2002]:
  - Lexicalization
  - Fundamental TAG Hypothesis (FTH)
  - Condition on Elementary Tree Minimality (CETM)
  - $\theta$ -Criterion for TAG
- ⇒ Semantic design principles [Abeillé and Rambow, 2000]
- ⇒ Design principle of economy

# Design principles (1): Lexicalization

Each elementary tree has at least one non-empty lexical item, its lexical **anchor**.

⇒ All widely used grammar formalisms support some kind of lexicalization!

Reasons for lexicalization: [Joshi and Schabes, 1991], [Schabes and Joshi, 1990]

- Formal properties: A finite grammar has finitely many analyses per string (finitely ambiguous).
- Linguistic properties: Idiosyncrasies of lexical items can be accounted for more directly.
- Parsing: The search space can be delimited (grammar filtering).

# Design principles (2): Fundamental TAG Hypothesis

#### Fundamental TAG Hypothesis (FTH)

Every syntactic dependency is expressed locally within an elementary tree. [Frank, 2002]

#### "syntactic dependency"

- valency/subcategorization
- modification
- binding
- ...

#### "expressed within an elementary tree"

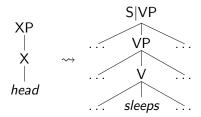
- terminal leaf (i.e. lexical anchor)
- nonterminal leaf (substitution node and footnode)
- marking an inner node for obligatory adjunction

# Design principles (3): Condition on Elementary Tree Minimality

### Condition on Elementary Tree Minimality (CETM)

The syntactic heads in an elementary tree and their projections must form the extended projection of a single lexical head. [Frank, 2002]

Note: We only use simple, non-extended projections!



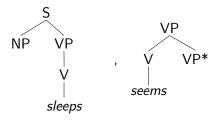
# Design principles (4): $\theta$ -Criterion for TAG

### $\theta$ -Criterion (TAG version)

- a. If H is the lexical head of an elementary tree T, H assigns all of its  $\theta$ -roles in T.
- b. If A is a frontier non-terminal of elementary tree T, A must be assigned a  $\theta$ -role in T.

#### [Frank, 2002]

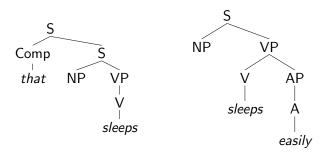
⇒ Valency/subcategorization is expressed only with nonterminal leaves!



#### Modification and functional elements

How to insert **modifiers** (*easily*) and **funtional elements** (complementizers, determiners, do-auxiliaries, ...)?

- Either by separate auxiliary trees (e.g., XTAG grammar),
- or as co-anchor in the elementary tree of the lexical item they are associated with.

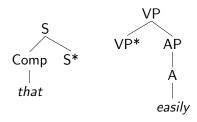


#### Modification and functional elements

In XTAG, modifiers and functional elements are generally represented by auxiliary trees.

- ⇒ Footnodes/Adjunctions indicate both complementation and modification.
- ⇒ Enhancement of the CETM: (see [Abeillé and Rambow, 2000])

core tree (following CETM) + spine



## Principles related to semantics

See [Abeillé and Rambow, 2000].

#### Predicate-argument cooccurrence:

Each elementary tree associated with a predicate contains a non-terminal leaf for each of its arguments.

#### Semantic anchoring:

Elementary trees are not semantically void (to, that.)

#### Compositional principle:

An elementary tree corresponds to a single semantic unit.

# Design principle of economy

#### Design principle of economy

The elementary trees are shaped in such a way, that the size of the elementary trees and the size of the grammar is minimal.

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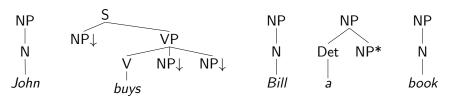
## Sample derivations

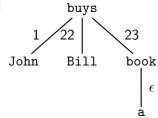
- Complementation
   with NPs, PPs, adjectives, clauses (raising, controlling), ...
- Modification
   with PPs, adjectives, particles, temporal clauses, relative
   clauses, ...

## Sample derivations: NP complements

(1) John buys Bill a book.

#### **Elementary trees:**

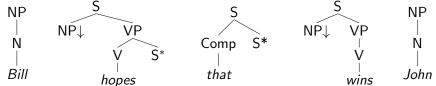


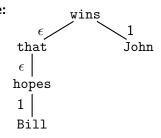


# Sample derivations: Sentential complements (1)

(2) Bill hopes that John wins.

#### **Elementary trees:**

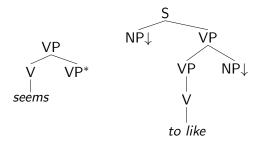


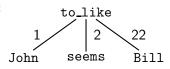


# Sample derivations: Sentential complements (2)

(3) John seems to like Bill.

#### **Elementary trees:**

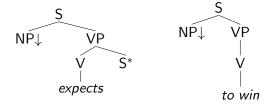


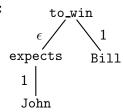


# Sample derivations: Sentential complements (3)

(4) John expects [Bill to win].

#### **Elementary trees:**





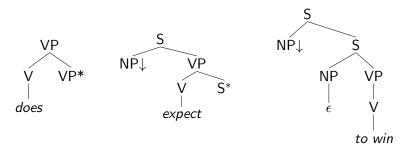
# Sample derivations: Sentential complements (4)

Question: Why is the sentential object represented as a footnode?

The sentential object is realised as a foot node in order to allow extractions:

(5) Who does John expect to win?

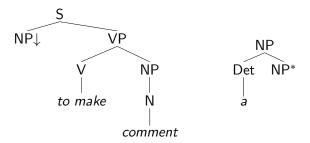
#### **Elementary trees:**



## Sample derivations: Multiple anchors

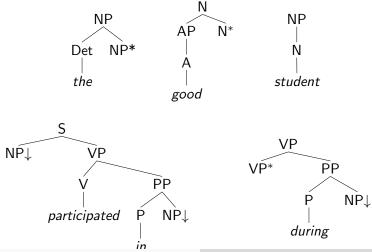
Multiword expressions and light verb constructions can be represented by elementary trees with multiple anchors:

(6) John expected [Mary to make a comment].



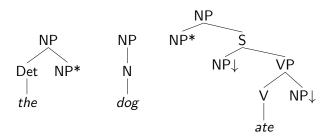
# Sample derivations: Modifiers

7) The good student participated in every course during the semester.



## Sample derivations: Relative clauses

(8) The dog [who ate the cake].



Problem: Extraposed relative clauses:

(1) Somebody; lives nearby [who; has a CD-burner].

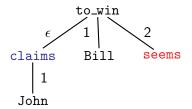
# Derivation trees = Semantic dependency structure ?

The derivation tree is not always the semantic dependency structure, due to:

- indiscernibility of complementation and modification in adjunction, and
- missing links.

Example for a missing link:

(2) John claims [Bill seems to win]



# Summary

- TAG derivations are described by derivation trees.
- In LTAG, elementary trees for lexical predicates contain slots for all arguments of these predicates, for nothing else.
   Recursion is factored away.
- The derived tree describes the constituent structure while the derivation tree is close to a semantic dependency graph.



Abeillé, A. and Rambow, O. (2000).

MIT Press, Cambridge, MA.

Tree adjoining grammar: An overview.

In Abeillé, A. and Rambow, O., editors, <u>Tree Adjoining Grammars: Formalisms, Linguistic Analyses and Processing</u>, volume 107 of <u>CSLI Lecture Notes</u>, pages 1–68. CSLI Publications, Stanford.



Frank, R. (2002).

Phrase Structure Composition and Syntactic Dependencies.



Joshi, A. K. and Schabes, Y. (1991). Tree-Adjoining Grammars and lexicalized grammars.

Technical Report MS-CIS-91-22, Department of Computer and Information Science, University of Pennsylvania.



Parsing with lexicalized tree adjoining grammar.

Schabes, Y. and Joshi, A. K. (1990).

 $\label{thm:constraint} \mbox{Technical Report MS-CIS-90-11, Department of Computer and Information Science, University of Pennsylvania.}$