

Piera Filippi - CTF '12

A Comparative Approach on the Faculty of Syntax: Towards an Operative Definition of Language



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Department of Cognitive Biology

Are nonhuman animals rational?

How did language evolve?

Are humans the only “linguistic” species?

What is language?



Methodology of inquiry

A. Focus on a core constitutive trait of the ability of language:

“Syntax”

meaning from the greek “*syntaxein*”:

connect different elements according to structural rules.



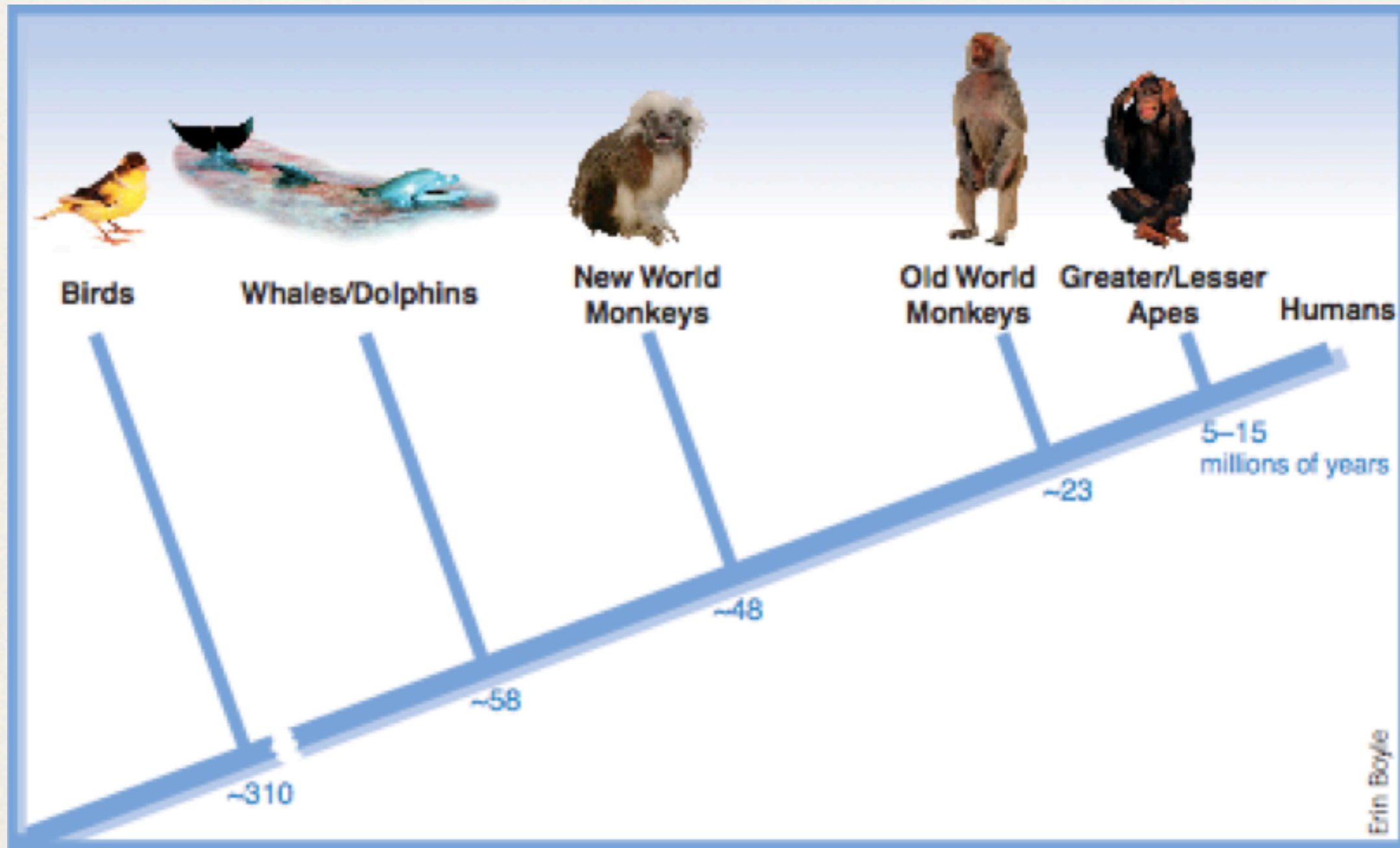
The faculty of language (broad sense)

B. Apply a comparative approach
on the **phylogenetic evolution of**
~~language~~ **syntax**

core cognitive operations
shared among animal
species

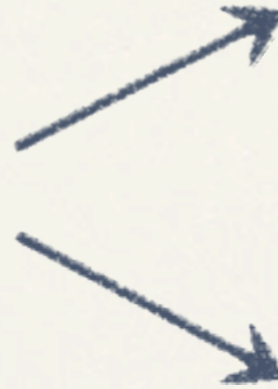
species-specific traits of
human language

The evolution of the ability to recognize syntactic structures



The faculty of language

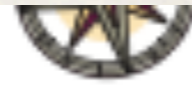
Comparative approach
on the **phylogenetic evolution**
of ~~language~~ syntax



core cognitive operations
**shared among animal
species**

**species-specific traits of
human language**

- ▶ brief review of the current state of art of the literature
- ▶ critics
- ▶ alternative hypothesis / research question



The Faculty of Language: What Is It, Who Has It, and How Did It Evolve?

Marc D. Hauser,^{1*} Noam Chomsky,² W. Tecumseh Fitch¹

We hypothesize that FLN [faculty of language narrow sense] only includes **recursion** and is the only uniquely human component of the faculty of language.

Recursion

strings are embedded within other strings of the same kind, creating complex **hierarchical structures** and **long-distance dependencies**.

Do animals have the ability to process recursive structures?

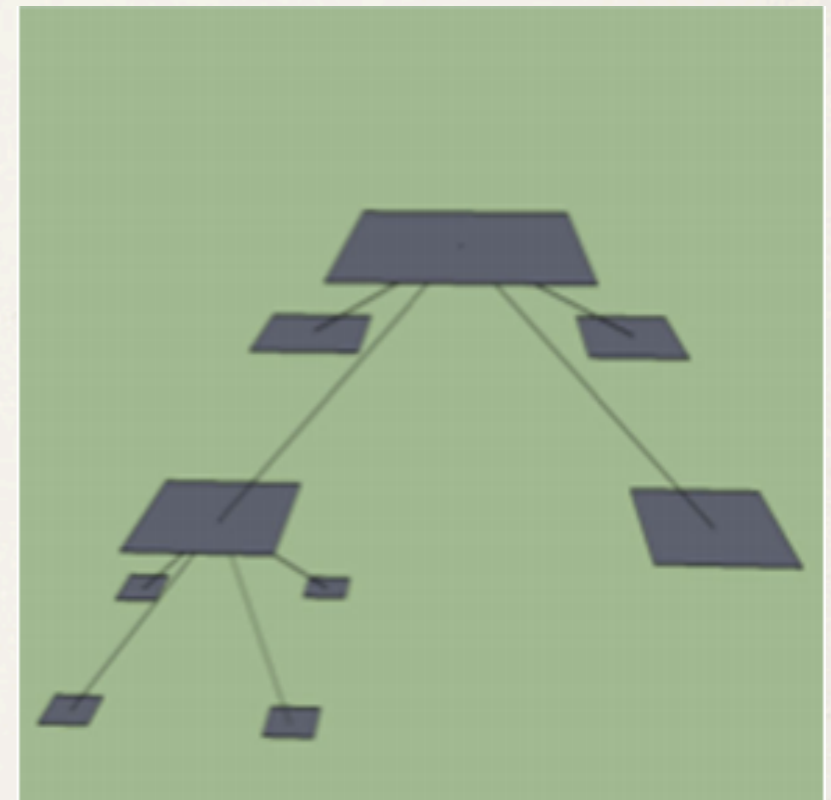
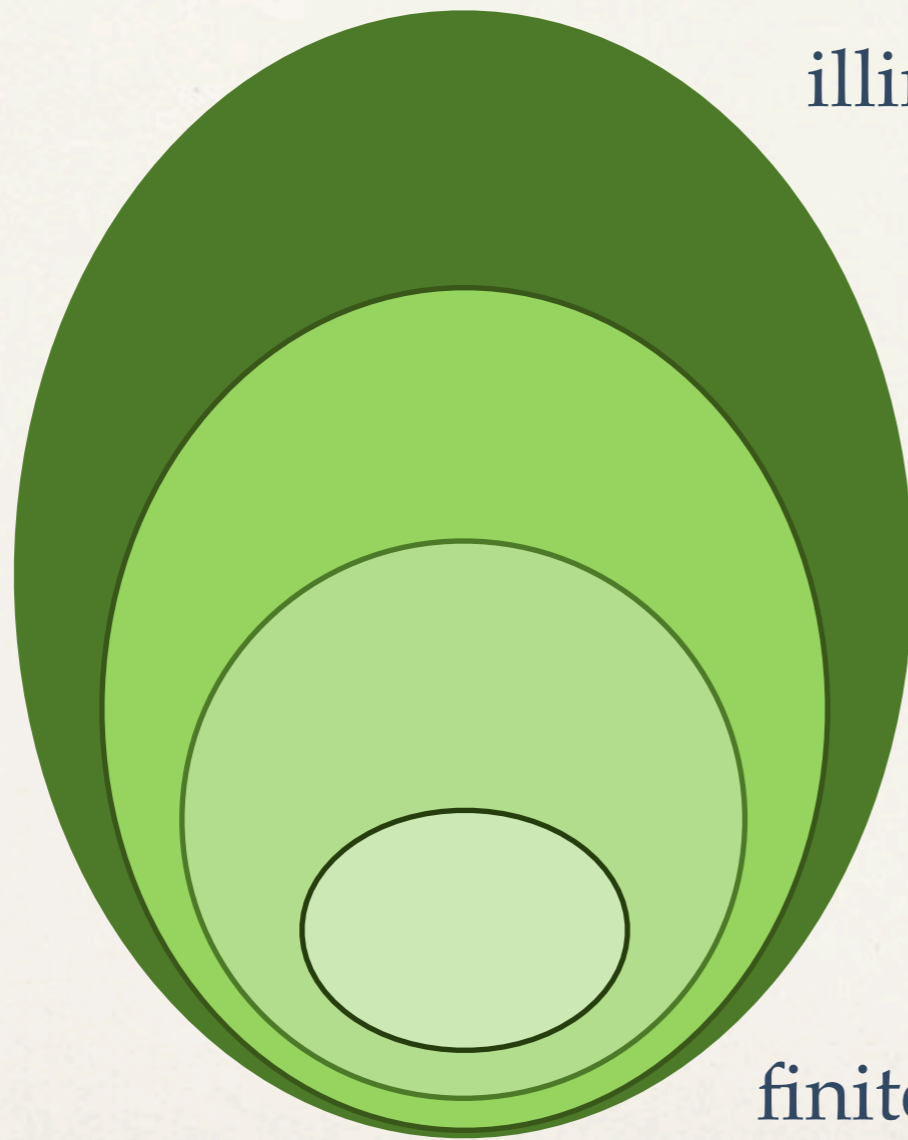


Image modified from
Martins Dias, M. 2012

Theory of formal language:
a comparative approach

Chomsky hierarchy



illimited

context-dependent grammar

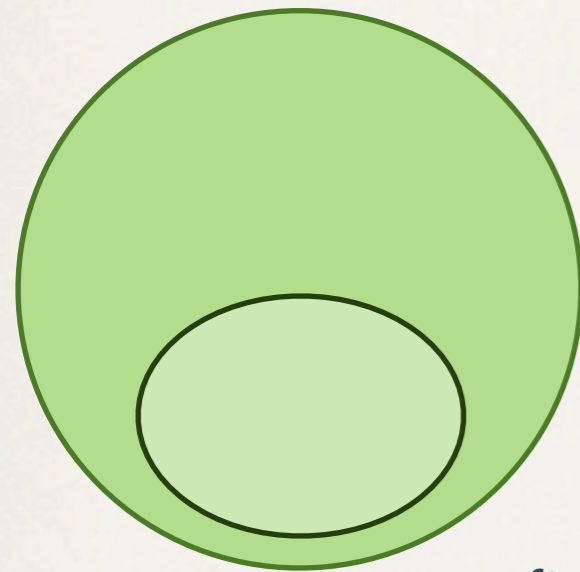
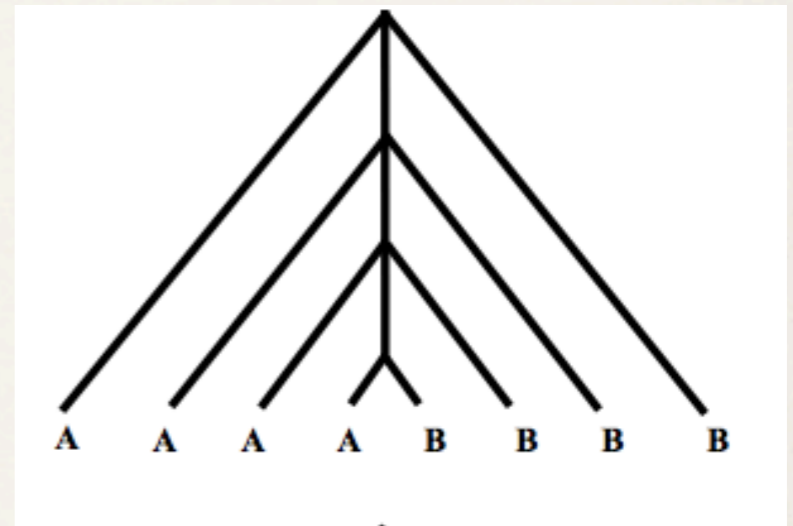
context free grammar

finite state grammar

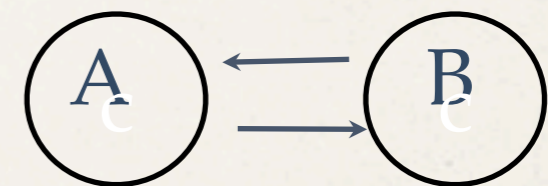
Perception of phono-syntactical patterns: the chomskyan paradigm

$A^n B^n$
(and/or recursive structures)

context free grammar



finite state grammar $(AB)^n$



n times

Finite State grammars

AB^n

This is the rat that ate the malt that lay in the house that Jack built

a1

b1

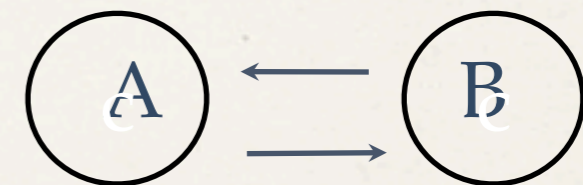
a2

b2

...

...

as many As as Bs



n times

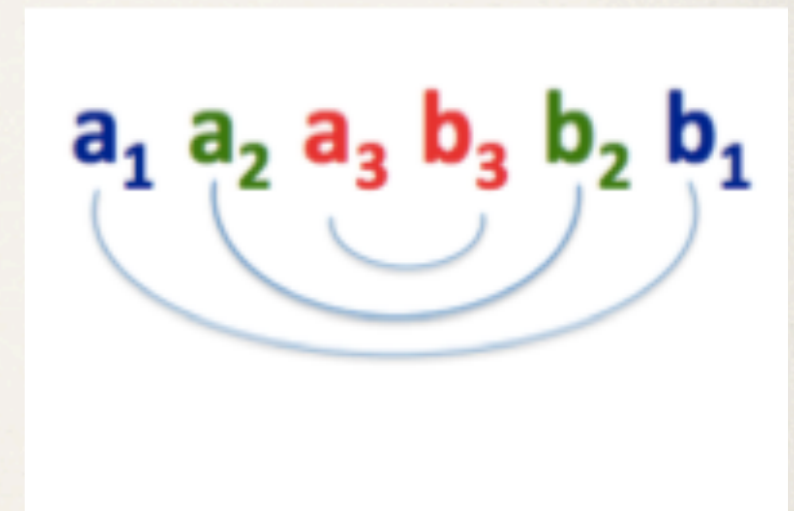
Context free grammars

A^nB^n

“the cheese that the mouse that the cat
chased ate is in John’s house ”

A^nB^n

a1 the cheese	→	b1 is in John’s house
a2 that the mouse	→	b2 ate
a3 that the cat	→	b3 chased



Do animals have the ability to process
context free grammars?



How can we test it?

Using shapes, colors and sounds

Finite State grammars

AB^n

a1 b1 a2 b2



ba nu di do mi ka

Context free grammars



A^nB^n

a1 → b1
 a2 → b2
 a3 → b3



la no yo mo bi gu

Examples in the acoustic domain

A

Finite State
Grammar $(AB)^n$



AB AB no li ba pa
AB AB AB la pa wu mo no li

Phrase Structure
Grammar: $A^n B^n$



AA BB yola pa do
AAA BBB ba la tu li pa ka

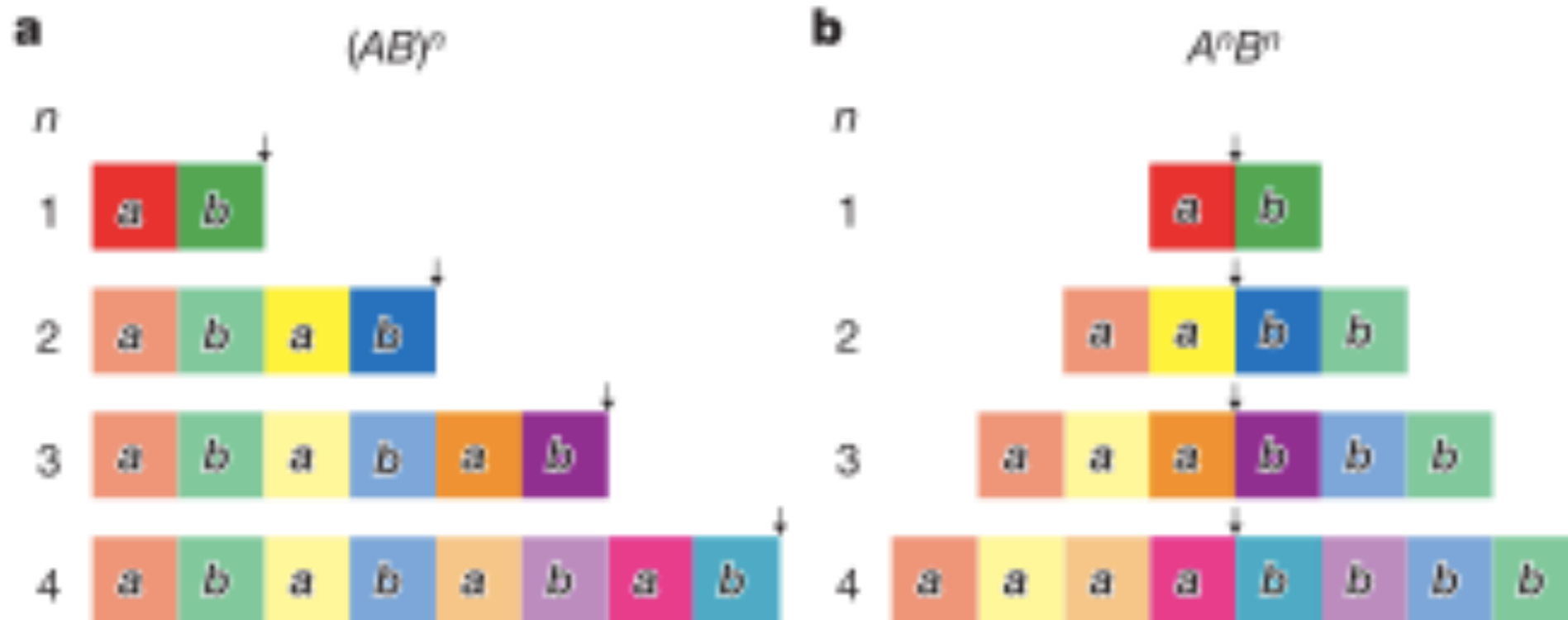
BLookNo Look

Fitch, Hauser, 2004



grammars were matched for acoustic features: A and B stimulus classes were spoken by different speakers, a female and a male

LETTERS

Recursive syntactic pattern learning by songbirdsTimothy Q. Gentner¹†, Kimberly M. Fenn², Daniel Margoliash^{1,2} & Howard C. Nusbaum²

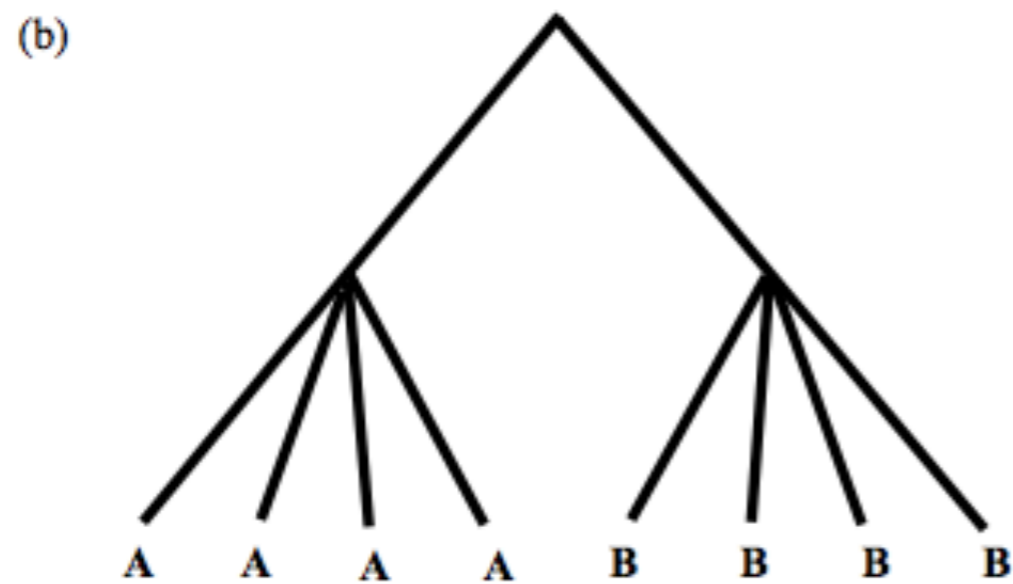
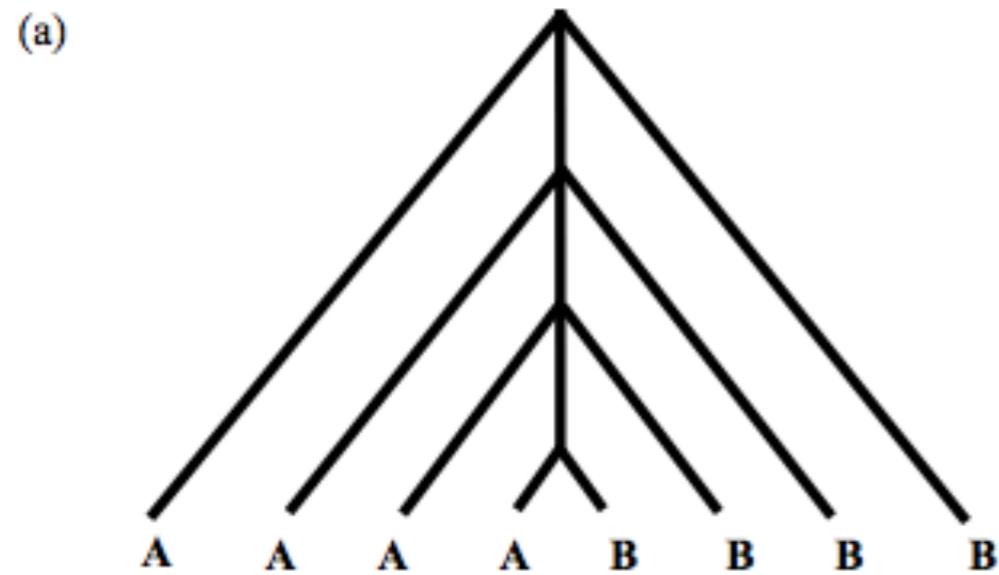


Fig. 1. Tree structure for (a) center-embedded recursion, and (b) double iteration.

- ▶ double iteration
- ▶ subitiation
- ▶ no structural dependence

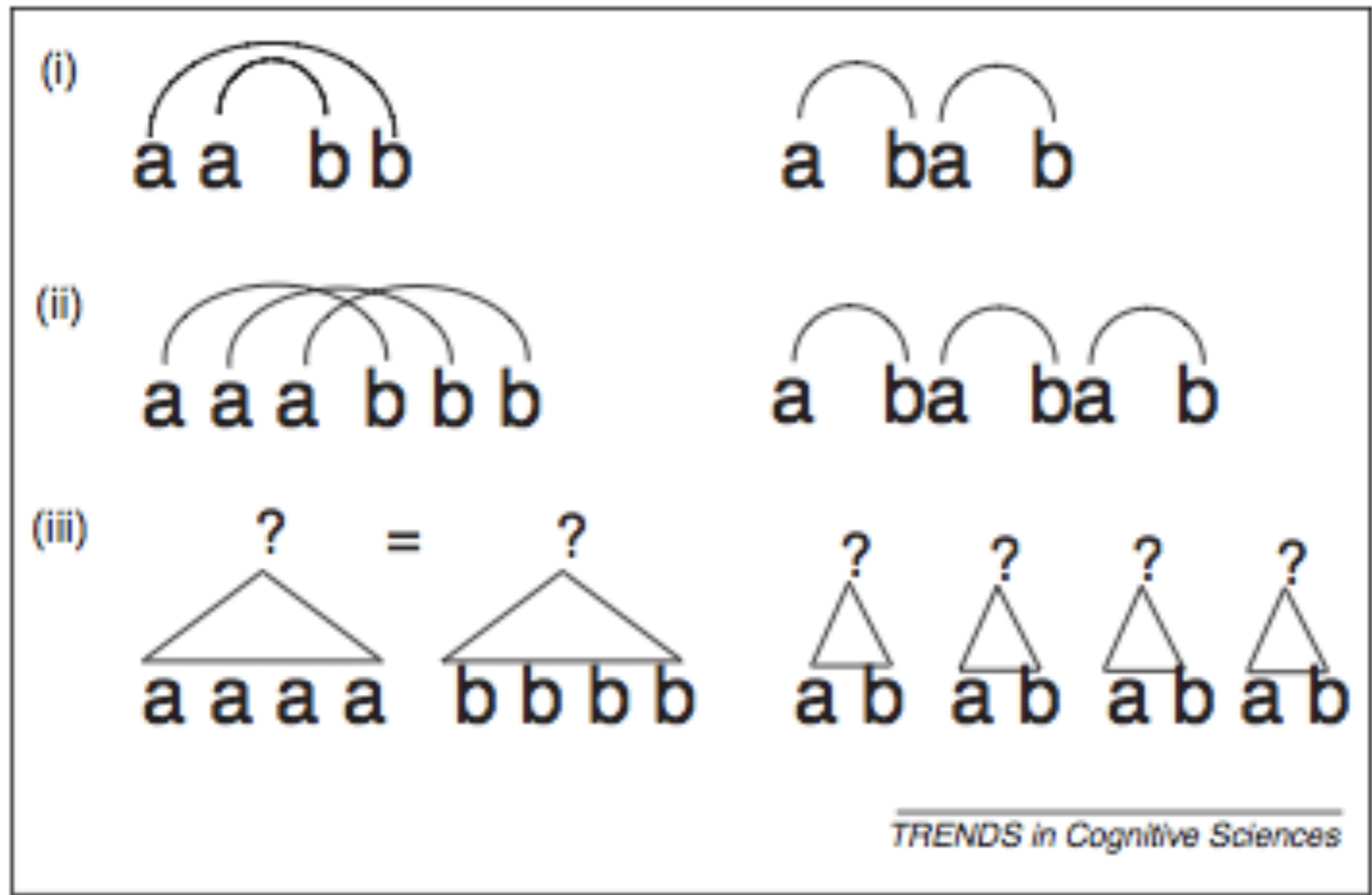


Figure 1. Strategies to check whether sentences are members of the formal languages $a^n b^n$ and $(ab)^n$ (see text for details).

Songbirds possess the spontaneous ability to discriminate syntactic rules

Kentaro Abe^{1,2} & Dai Watanabe^{1,3}



★ In human languages, center-embedding, ★
◆ which occurs when clauses, ◆
● such as the one, that you are reading, ●
which is difficult ■ to understand,

a

Center-embedding language

$S \rightarrow AP + BP$

$AP \rightarrow A$

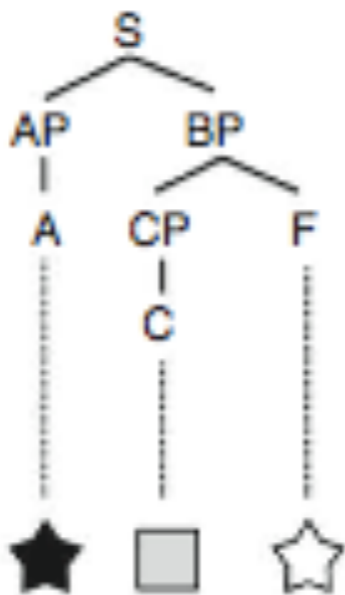
$BP \rightarrow CP + F$

$CP \rightarrow C \text{ or } AP' + BP'$

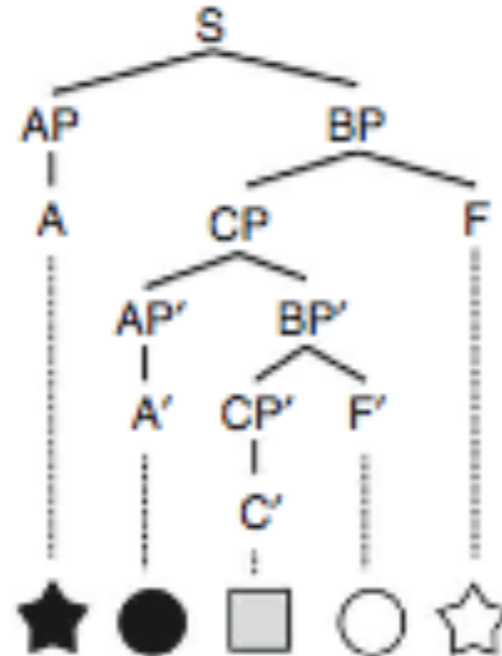


Familiarization strings:

Non-embedded



Embedded



Test strings:



Brief article

Centre-embedded structures are a by-product of associative learning and working memory constraints: Evidence from baboons (*Papio Papio*)

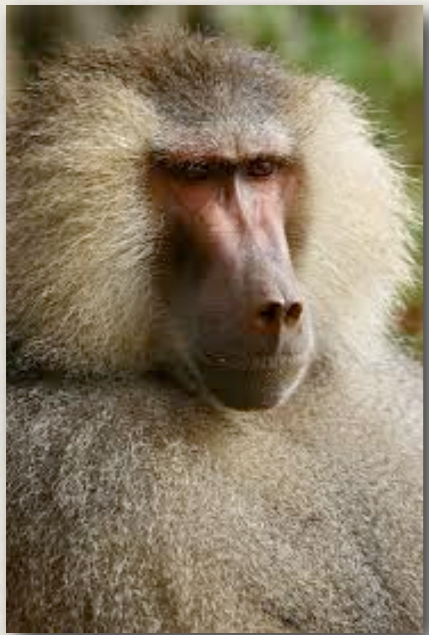
Arnaud Rey^{a,*}, Pierre Perruchet^b, Joël Fagot^a

^a Laboratoire de Psychologie Cognitive – CNRS, Aix-Marseille Université, Marseille, France

^b Laboratoire d'Etude de l'Apprentissage et du Développement – CNRS, Université de Bourgogne, Dijon, France

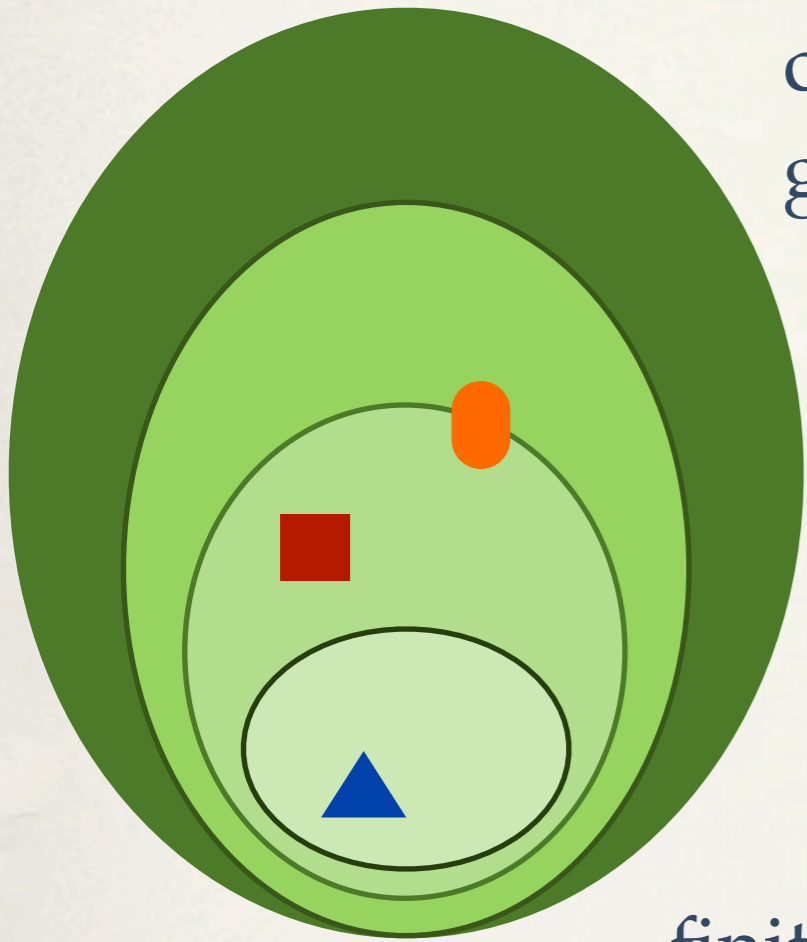
2.2. Material

They were tested with learning devices equipped with a touch screen and a food dispenser. The main innovation of the test equipments is that the baboons participated at will, as they had a 24-h access to the computers from the outdoor enclosure, where they live in a social group (see Fagot & Bon-té, 2010, for a detailed description of the testing apparatus). Twelve shapes (e.g. Δ , Φ , ϑ , Γ , Σ , Ω , $*$, \exists , ζ , $\&$, λ) were used to create six arbitrary pairs of stimuli, hereafter noted a_1b_1 , a_2b_2 , ..., a_6b_6 . A different set of 10 neutral shapes served as visual distractors (Υ , \perp , \forall , \approx , \llcorner , \lrcorner , \lrcorner , \lrcorner , \lrcorner , \lrcorner , \lrcorner).



Gentner, T., *et al.* (2006),
Abe, K., Watanabe, D.
(2011), Rey, A. *et al.* (2012)

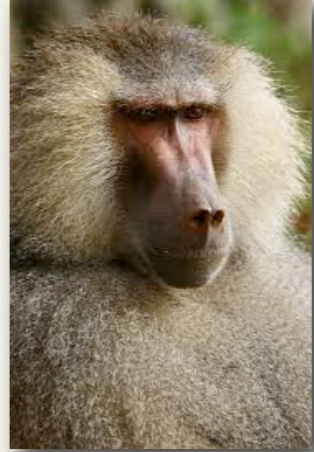
illimited grammars



context-dependent
grammars

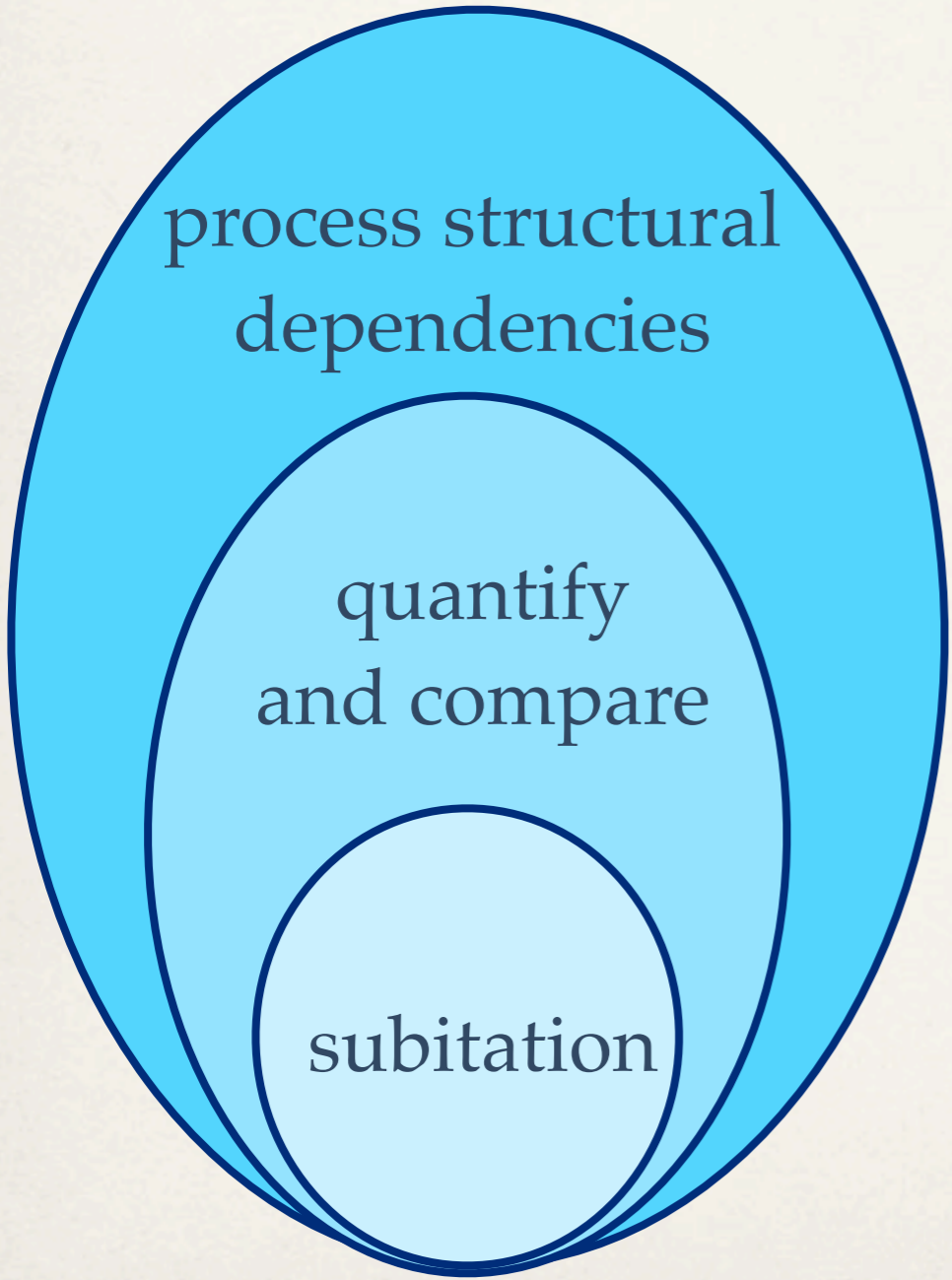
context free grammar

finite state grammar

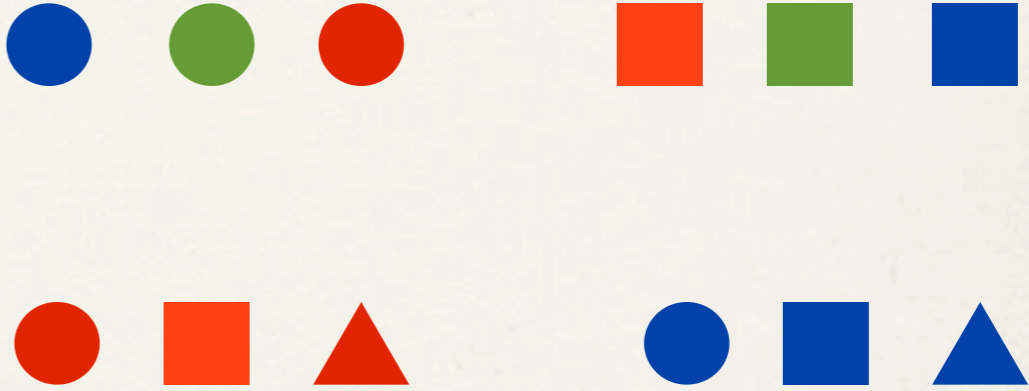


Fitch, W. T. &
Hauser, M. (2004)

The faculty of language:
comparative studies on nonhuman species:



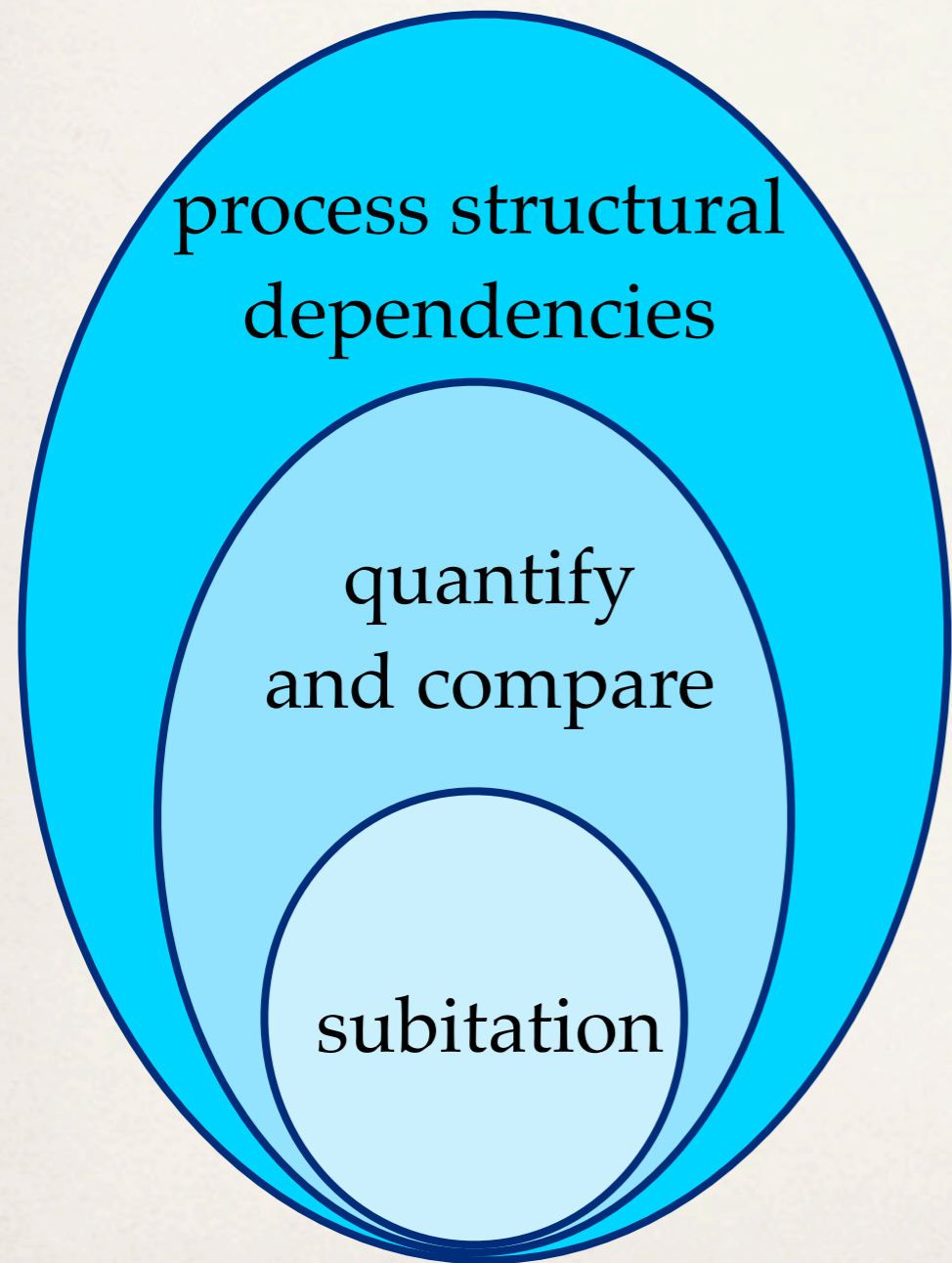
$A^n B^n$



AB^n



The faculty of language:
comparative studies on nonhuman species:



} Ability to process
“perceptual syntax”

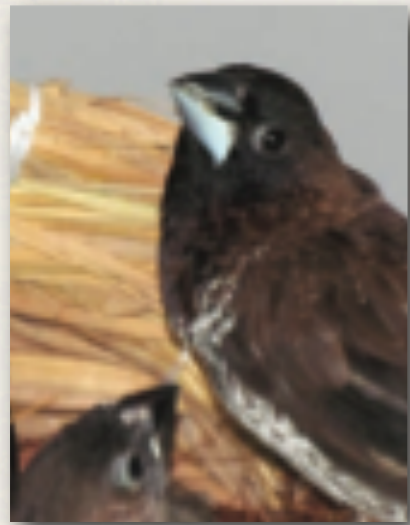
AB^n

A^nB^n

Is the ability to process perceptual patterns
a pre-requisite for humans' faculty of language?

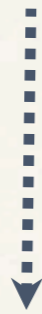
AB^n

A^nB^n



Perceptual syntax

patterns in the
perceptual domain



Propositional syntax

patterns ruled by logical, morphological
connections



What's the difference?

- recognizing a language - **perceptual syntax**

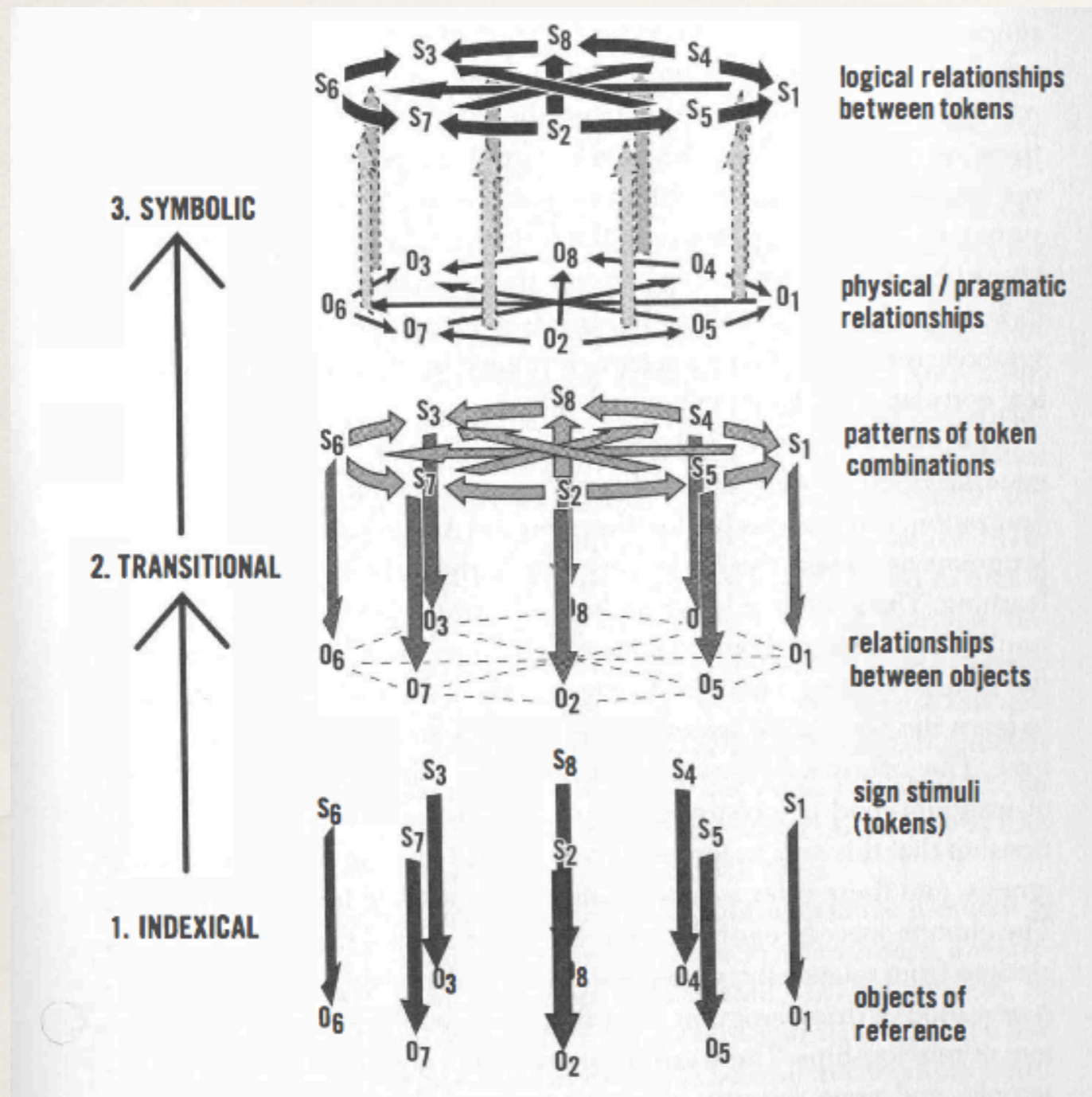
- **understanding a propositional syntax**



1) elements that have internal logical dependencies

2) linked to external objects (existent or not): meanings

Uniquely human?



The **indexical power** is *distributed*, so to speak, in the relationships between words.

Symbolic reference derives from *combinatorial possibilities* and impossibilities [...].

Hypothesis

Humans are the only species able to categorize the units of a pattern going beyond its perceptual characteristics:



- combine different elements within a network of combinatorial logical relationships
- link them to a referential state of affairs.

Humans can associate a combinatorial pattern to a structural combination among external objects or categories of objects.



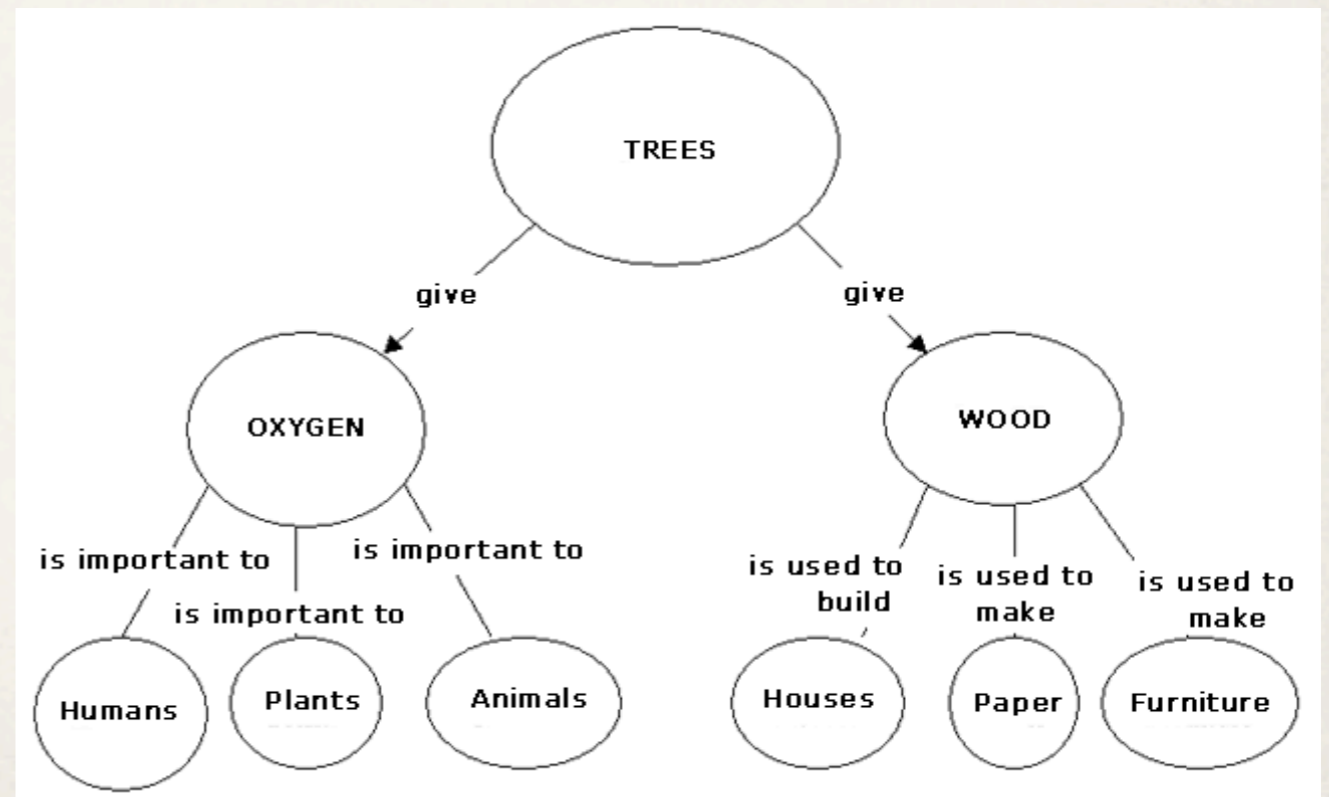
compute
mathematical
expressions

$$[(2+6) : 3] - 5 = \dots$$



...

draw conceptual maps



New suggested methodology for a comparative approach

Address the ability of nonhuman animals to

- a) process simple perceptual patterns with internal dependencies between the elements
- b) refer these basic structures to a pattern of external objects of reference



what makes a species-typical human linguistic expression out of a pattern of perceptual stimuli

zoon logikon



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European Research Council Advanced Grant SOMACCA ("**The Syntax of Mind: A Computational, Comparative Approach**") awarded to Prof. W. Tecumseh Fitch

Questions or comments?

Thank you!